

*(To be filled out in the EDPS' office)*  
**REGISTER NUMBER: 1379**

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**NOTIFICATION FOR PRIOR CHECKING**

**DATE OF SUBMISSION: 03/06/2016**

**CASE NUMBER: 2016-0497**

**INSTITUTION: EIB**

**LEGAL BASIS: ARTICLE 27-5 OF THE REGULATION CE N° 45/2001<sup>(1)</sup>**

**INFORMATION TO BE GIVEN<sup>2</sup>**

1/ NAME AND ADDRESS OF THE CONTROLLER

EUROPEAN INVESTMENT BANK  
98 -100 BD KONRAD ADENAUER  
L 2950 LUXEMBOURG KIRCHBERG

2/ ORGANISATIONAL PARTS OF THE INSTITUTION OR BODY ENTRUSTED WITH THE PROCESSING OF PERSONAL DATA

EIB / OCCO and Directorates managing the insider lists

3/ NAME OF THE PROCESSING

**Insider lists as prevention against Market Abuse**

4/ PURPOSE OR PURPOSES OF THE PROCESSING

Preventing insider dealing, unlawful disclosure of inside information and market manipulation

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<sup>1</sup> OJ L 8, 12.01.2001.

<sup>2</sup> **Please attach all necessary backup documents**

5/ DESCRIPTION OF THE CATEGORY OR CATEGORIES OF DATA SUBJECTS

Any EIB staff or third parties working for the EIB under a contractual relationship are potentially in scope for receiving inside information.

6/ DESCRIPTION OF THE DATA OR CATEGORIES OF DATA (*including, if applicable, special categories of data (Article 10) and/or origin of data*).

1.Regarding Insider information

The information follows the format and categories prescribed under the relevant EU Implementing Technical standards (COMMISSION IMPLEMENTING REGULATION (EU) 2016/347 of 10 March 2016 laying down implementing technical standards with regard to the precise format of insider lists and for updating insider lists in accordance with Regulation (EU) No 596/2014 of the European Parliament and of the Council). Information covered as per template:

*First name(s) of the insider*

*Surname(s) of the insider*

*Birth surname(s) of the insider (if different)*

*Professional telephone number(s) (work direct telephone line and work mobile numbers)*

*Company name and address (full name and address if different from the EIB; if EIB just “EIB” and location - “Luxembourg” or “Rome office/Brussels office etc.” has to be indicated)*

*Title of the function/Directorate/Division*

*Reason for being insider (e.g.: TMR officer/Loan officer in xx project)*

*Obtained (the date and time at which a person obtained access to inside information)*

*Ceased (the date and time at which a person ceased to have access to inside information)*

*Date of birth*

*National Identification Number (if not working in the EIB)*

*Personal telephone numbers (home and personal mobile telephone numbers)*

*Personal home address.*

2.Regarding Personal investments

Content of the Declarations of Interest to be delivered by MC members, Directors General and Heads of Department to OCCO (see separate Notification)

7/ INFORMATION TO BE GIVEN TO DATA SUBJECTS

A privacy notice will be published on the intranet and included on EIB contracts with counterparts.

8/ PROCEDURES TO GRANT RIGHTS OF DATA SUBJECTS

(Rights of access, to rectify, to block, to erase, to object)

Data subjects shall be entitled to have access to their data and to rectify it. The right to block, erase and object will be assessed on a case by case approach, through OCCO.

9/ AUTOMATED / MANUAL PROCESSING OPERATION

Automated

10/ STORAGE MEDIA OF DATA

Automated, in the electronic document management system (“GED”)

11/ LEGAL BASIS AND LAWFULNESS OF THE PROCESSING OPERATION

- Regulation no 596/2014 of 16 April 2014, on Market Abuse.
- Decision of the Management Committee of 16 February 2016 approving the Market Abuse Guidelines

12/ THE RECIPIENTS OR CATEGORIES OF RECIPIENT TO WHOM THE DATA MIGHT BE DISCLOSED

Other persons included on the Insider list, competent authorities upon request (i.e. hereby primarily to be understood national financial supervisors)

13/ RETENTION POLICY OF (CATEGORIES OF) PERSONAL DATA

FOR THE INSIDER LISTS: 5 YEARS AFTER THE DECLASSIFICATION

13 A/ TIME LIMIT TO BLOCK/ERASE ON JUSTIFIED LEGITIMATE REQUEST FROM THE DATA SUBJECTS

10 WORKING DAYS

14/ HISTORICAL, STATISTICAL OR SCIENTIFIC PURPOSES

NA

15/ PROPOSED TRANSFERS OF DATA TO THIRD COUNTRIES OR INTERNATIONAL ORGANISATIONS

NOT FORESEEN. IN CASES OF TRANSFERS OUTSIDE COUNTRIES SUBMITTED TO EUROPEAN DIRECTIVE 46/95 THE DPO TO BE CONSULTED BEFOREHAND

16/ THE PROCESSING OPERATION PRESENTS SPECIFIC RISK WHICH JUSTIFIES PRIOR CHECKING (*Please describe*)AS FORESEEN IN:

↑ Article 27.2.(a)

*Processing of data relating to (...)to suspected offences, offences, criminal convictions(...),*

↑ Article 27.2.(b)

*Processing operations intended to evaluate personal aspects relating to the data subject,*

17/ COMMENTS

The EU Market Abuse regime is transposed into EIB rules by way of the EIB Market Abuse Guidelines (MAG) which follow regulatory requirements and provide institutional guidance for properly applying them to the EIB Group. In that sense data handling will be entirely consistent with regulatory prescriptions

PLACE AND DATE:LUXEMBOURG, 4 OF MAY 2016

DATA PROTECTION OFFICER: ALBERTO SOUTO DE MIRANDA

INSTITUTION OR BODY: EUROPEAN INVESTMENT BANK